Docket No.: 15685P027



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Rothe Application of:

CRAIG H. BARRATT, ET AL.

Application No.: 09/676,888

Filed: September 29, 2000

For: NON-DIRECTIONAL TRANSMITTING

FROM A WIRELESS DATABASE STATION HAVING A SMART

ANTENNA SYSTEM

Art Group: 2681

Examiner: Not Yet Assigned



## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

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Sir:

**Technology Center 2600** 

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated February 21, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart European application, which was forwarded to Applicant's Representative in a communication dated March 7, 2002.

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 23, 2002

Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

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Derek S. Watson

Date

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PTO/SB/21 (08-00)
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or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP					
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Account Name Blakely, Sokoloff, Taylor & Zafman LLP	139	9 130	139	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	147	7 2,520	147	2,520	For filing a request for ex parte reexamination	<u> </u>
☐ Charge fee(s) indicated below ☐ Credit any overpayments	112	920 •	112	920 •	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) during the pendency of the application	113	3 1,840*	113	1 840 *	Requesting publication of SIR after	
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to the above-identified deposit account	115	5. 110	215	55	Extension for reply within first month	
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1. BASIC FILING FEE	117	7 920	217	460	Extension for reply within third month	
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101 740 201 370 Utility filing fee	119	9 320	219	160	Notice of Appeal	
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal	
107 510 207 255 Plant filing fee	12	1 280	221	140	Request for oral hearing	
108 740 208 370 Reissue filing fee	138	8 1,510	138	1,510	Petition to institute a public use proceeding	
114 160 214 80 Provisional filing fee	140	0 110	240	55	Petition to revive - unavoidable	
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2. EXTRA CLAIM FEES Extra Fee from	143	3 460	243	230	Design Issue lee	
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Independent	122		122	130	Petitions to the Commissioner	
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Multiple Dependent	126		126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	58	1 40	581	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	14	6 740	246	370	Filing a submission after final rejection	
103 18 203 9 Claims in excess of 20					(37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	14	9 740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280 204 140 Multiple Dependent claim, if not paid	179	9 740	279	370	Request for Continued Examination (RCE)	
109 84 209 42 **Reissue independent claims over	16	0 000	160		Request for expedited examination	

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Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 684-6200
Signature	HALL			Date	04/23/02

Other fee (specify)

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\*\*Reissue claims in excess of 20 and over

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INFORMATION DISCLOSURE	
2 STATEMENT BY APPLICANT	
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Application Number	09/676,888				
Filing Date	September 29, 2000				
First Named Inventor	Craig H. Barratt				
Group Art Unit	2681				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	15685P027				

				U.S. PATENT DOCUMENTS		
Examiner Initials*	Cite No.¹	U.S. Patent Number	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	I	5,812,090	•	Chevalier et al.	09-22-1998	
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'Unique citation designation number. 'See attached Kinds of U.S. Patent Documents. 'Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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